



**UNIFORM GIFT TO MINOR'S ACT OR  
UNIFORM TRANSFER TO MINOR'S  
ACT (UGMA OR UTMA)  
APPLICATION**

**IMPORTANT:** In compliance with the USA PATRIOT Act, Federal law requires all financial institutions (including mutual funds) to obtain, verify, and record information that identifies each person who opens an account.

**WHAT THIS MEANS FOR YOU:** When you open an account, we will ask for your name, Social Security Number (SSN) or Tax Identification Number (TIN), a physical address (a Post Office box is not acceptable), date of birth, and other information that will allow us to identify you. We may also ask for additional identifying documents. The information is required for all owners, co-owners, or anyone who will be signing or transacting on behalf of a legal entity that will own the account. If any of this information is missing, we will not be able to process your investment request. If we are unable to verify this information, your account may be closed, and you will be subject to all applicable costs. If you have any questions regarding this application or how to invest, please call Shareholder Services at 1-888-345-1898.

**PART I: INVESTOR INFORMATION (\*Denotes Required Information)**

One adult serves as custodian to oversee an investment for one minor. The Custodian has authority, controlling the account for the child's benefit until the child reaches the age of majority.

|   |                |                         |
|---|----------------|-------------------------|
| Custodian's Name* (First, M.I., Last)           | Date of Birth* | Social Security Number* |
| Street Address (Physical Address)* Apt #        | City*          | State* Zip Code*        |
| Mailing Address (if different than above) Apt # | City           | State Zip Code          |
| Daytime Phone*                                  | Evening Phone  |                         |
| Minor's Name* (First, M.I., Last)               | Date of Birth* | Social Security Number* |
| Street Address (Physical Address)* Apt #        | City*          | State* Zip Code*        |

**NOTE:** Please list all individuals who will have authority to open and/or transact business for this account on behalf of the legal entity in whose name this account will be registered. You must provide the following information for each person listed on the account: Each individual's full name, date of birth, personal Taxpayer Identification Number (TIN), and physical residential address (a Post Office box is not acceptable).

**PART II: FUNDS SELECTION AND INITIAL INVESTMENT – *The completion of this section is required.***

Next to the fund name, indicate the amount of your investment. The initial investment minimum is \$200 per each Fund. Refer to the prospectus for additional purchase requirements. Redemption proceeds of shares purchased by check are not available for 15 calendar days.

| Fund Choice                              | Investment     |
|--|----------------|
| Commonwealth Australia/New Zealand Fund  | \$ or %        |
| Commonwealth Africa Fund                 | \$ or %        |
| Commonwealth Japan Fund                  | \$ or %        |
| Commonwealth Global Fund                 | \$ or %        |
| Commonwealth Real Estate Securities Fund | \$ or %        |
|  | TOTAL: \$ or % |

Note: If an account is opened with an Automatic Investment Plan (AIP) of at least \$100/month, then the account can be opened with no initial minimum investment.

**PART III: COST BASIS ELECTION** – *The completion of this section is optional.*

The Commonwealth International Series Trust is responsible for tracking and reporting to the IRS adjusted cost basis on covered shares for mutual fund purchases on or after *January 1, 2012*. We will apply the Commonwealth International Series Trust’s default cost basis reporting method of Average Cost to all applicable funds and accounts that are not listed or for which a method is not selected below.

I choose the following cost basis method for all funds under the above account number:

- Average Cost                       First In, First Out (FIFO)                       Last In, First Out (LIFO)  
 High Cost                               Low Cost     Specific ID

**Average Cost** – Uses the average cost of the shares as the basis for redemptions to calculate capital gains and losses. To determine the holding period for the shares, average cost uses the First-In, First-Out method. The Average Cost method is available only for mutual funds.

**First-In, First-Out (FIFO)** – Shares acquired first in the account are the first shares depleted to determine cost basis.

**Last-In, First-Out (LIFO)** – Shares acquired last in the account are the first shares depleted to determine cost basis.

**High Cost** – Shares acquired with the highest cost per share in the account are the first shares depleted to determine cost basis.

**Low Cost** – Shares acquired with the lowest cost per share in the account are the first shares depleted to determine cost basis.

**Specific ID** – Shares specifically identified by the shareholder at the time of the sale are sold or redeemed first.

**PART IV: DIVIDEND AND CAPITAL GAINS OPTIONS** – *The completion of this section is required.*

| Dividends  | Short-Term Capital Gains   | Long-Term Capital Gains  |
|--|--|--|
| <input type="checkbox"/> Reinvest<br><input type="checkbox"/> Pay in CASH to my address of record<br><input type="checkbox"/> Automatically deposit into my bank account (complete bank account <i>Part VIII</i> ) | <input type="checkbox"/> Reinvest<br><input type="checkbox"/> Pay in CASH to my address of record<br><input type="checkbox"/> Automatically deposit into my bank account (complete bank account <i>Part VIII</i> ) | <input type="checkbox"/> Reinvest<br><input type="checkbox"/> Pay in CASH to my address of record<br><input type="checkbox"/> Automatically deposit into my bank account (complete bank account <i>Part VIII</i> ) |

If you do not mark one for each of the following selections, all dividends and capital gains will be reinvested in the same fund that paid them.

**PART V: TELEPHONE TRANSACTION PRIVILEGES** – *The completion of this section is optional.*

Telephone instructions may be provided by any registered owner or the broker/dealer of record. Telephone requests for investments or withdrawals can be made on any day the Fund(s) are open for business. Requests must be received by the close of trading of the NYSE, normally 4 p.m. (Eastern) (Redemption proceeds of shares purchased by check are not available until payments for those shares are collectible. This may take up to fifteen (15) calendar days). To allow for on demand telephone investments or withdrawals by transferring money directly between your mutual fund and your bank account via ACH (Automated Clearing House) please *Complete Bank Account Information Part VIII*.

Your account automatically includes telephone redemption privileges. In the case of telephone redemptions, a check will be mailed to the address and owners listed on your account, unless instructed to go via ACH to the bank information provided in *Part VIII*.

Please check the box below if you **DO NOT** want these privileges.

- By checking this box, you DO NOT authorize Commonwealth International Series Trust to accept and act upon telephone instructions from any registered owner or the broker/dealer of record for the redemption of shares.

**PART VI: AUTOMATIC INVESTMENT PLAN** – *The completion of this section is optional.*

**Automatic Investment Plan** - This option provides an automatic investment into your mutual fund(s) by transferring money directly from your bank account via ACH\*\* (Automated Clearing House) on a scheduled basis. Please refer to the Fund’s prospectus for other account restrictions. Please provide all of your bank account information **AND** attach a voided check or deposit slip where requested in *Part VIII*.

I authorize Commonwealth International Series Trust to initiate investments into my mutual fund account according to the following frequency:

- Twice Each Month                       Monthly

Fund Commonwealth Australia/New Zealand Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month.) \_\_\_\_\_

Fund Commonwealth Africa Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Japan Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Global Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Real Estate Securities Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

**PART VII: SYSTEMATIC WITHDRAWAL PLAN** – *The completion of this section is optional.*

**Systematic Withdrawal Plan** - This option provides a systematic withdrawal of money from your mutual fund(s). Money can be sent to your address of record or transferred to your bank account via ACH (Automated Clearing House). For transfers sent to your bank account please provide all of your bank account information **AND** attach a voided check or deposit slip where requested in *Part VIII*.

**Systematic Withdrawal Plan to my Address of Record**

**Systematic Withdrawal Plan via ACH to my Bank Account (complete *Part IX*)**

I authorize Commonwealth International Series Trust to initiate withdrawals from my mutual fund account as described below:

Annually    Semi-Annually    Quarterly    Twice Each Month    Monthly    Other (Check months below)

January    February    March    April    May    June  
 July    August    September    October    November    December

Fund Commonwealth Australia/New Zealand Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Africa Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Japan Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Global Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund Commonwealth Real Estate Securities Fund Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

\*\*Redemption proceeds of fund shares purchased via ACH are not available for a period of fifteen (15) calendar days.

**PART VIII: BANK ACCOUNT INFORMATION** – *The completion of this section is optional.*

Bank Name \_\_\_\_\_

ABA Number (if known) \_\_\_\_\_

Bank Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_ Zip \_\_\_\_\_

Name(s) on Bank Account \_\_\_\_\_

Bank Account Number \_\_\_\_\_

Name(s) on Bank Account \_\_\_\_\_

Please attach one voided check or deposit ticket.       Checking       Savings

|   |  |                         |
|---|--|-------------------------|
| John and Jane Doe<br>123 Any Street<br>Anytown, USA 12345 | Date _____   | 1003                    |
| PAY TO THE<br>ORDER OF _____                              | Tape your voided check or preprinted<br>deposit slip here.<br><br>Please do <u>not</u> use staples to attach it. | \$ <input type="text"/> |
| _____   |  | _____ DOLLARS           |
| BANK NAME<br>BANK ADDRESS                                 |  |                         |
| MEMO _____  |  |                         |
| 0: 123456789: 00 123456789 00 : 1003                      |  |                         |

**PART IX: DUPLICATE ACCOUNT STATEMENT** – *The completion of this section is optional.*

Yes, please send a duplicate account statement to:

Name \_\_\_\_\_

Street Address \_\_\_\_\_ Apt # \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**PART X: SIGNATURE** – *The completion of this section is required.*

By signing this form, I represent and warrant that: (a) I am of legal age in my state of residence and wish to purchase shares of the Fund(s) as described in the current Prospectus; and (b) I have the full right, power and authority to invest in the Fund (s); and (c) I have received a current Prospectus of the Funds and agree to be bound by its terms; and (d) I understand that no certificates will be issued and that my confirmation statement will be evidence of my ownership of fund shares; and (e) I understand that my property may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law.

**I certify that I am eligible to engage in the cost basis method election(s) requested on this form and that I have the authority to act on the account(s). I also assume complete responsibility for the tax consequences of the cost basis method election(s) I have made.**

**Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding because: (i) I am exempt from backup withholding, or (ii) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (iii) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. citizen.**

**Certification Instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividend, you are not required to sign the Certification, but you must provide your correct TIN. (See IRS Form W-9, which is available from the Funds, for more information).

Persons signing as representatives or fiduciaries of corporations, partnerships, trusts or other organizations are certifying that each person listed below are authorized to effect securities transactions on behalf of the Investor (alternatively, the secretary or designated officer of the organization must certify the authority of the persons signing on the space provided below).

**X** \_\_\_\_\_  
Custodian Date

**X** \_\_\_\_\_  
Custodian Date

**X** \_\_\_\_\_  
Custodian Date

**X** \_\_\_\_\_  
Custodian Date

Note: All account owners and authorized signers must sign above.

**FOR DEALER USE ONLY**

\_\_\_\_\_  
Financial Institution Name

\_\_\_\_\_  
Representative's Full Name

\_\_\_\_\_  
Address

\_\_\_\_\_  
Representative's Branch Office Telephone Number

\_\_\_\_\_  
City

\_\_\_\_\_  
State Zip Code

\_\_\_\_\_  
Dealer Number

\_\_\_\_\_  
Branch Number

\_\_\_\_\_  
Representative Number

**X** \_\_\_\_\_  
Representative's Signature

**X** \_\_\_\_\_  
Supervisor's Signature

**APPLICATION CHECKLIST**

- Completed all required sections of the application (*Parts I, II, IV, X*)
- Provided account owner name, residential address, date of birth and Social Security Number or Tax Identification Number for all individuals listed on the application
- Included all identifying documents for non-individuals or entity registrations
- Enclosed check which meets the fund minimums and is made payable to Commonwealth International Series Trust
- Provided all required signatures
- Completed bank information for Automatic Investment Plan or Automatic Withdrawal Plan via ACH options and enclosed a preprinted voided check or savings deposit slip

**MAILING INSTRUCTIONS**

Please send completed form to:

**Regular Mail Delivery**  
Commonwealth International Series Trust  
P.O. Box 46707  
Cincinnati, Ohio 45246

**Overnight Delivery**  
Commonwealth International Series Trust  
225 Pictoria Drive, Suite 450  
Cincinnati, Ohio 45246

| PRIVACY NOTICE   |   |                                    |
|--|---|------------------------------------|
| <b>FACTS</b>   | <b>WHAT DOES COMMONWEALTH INTERNATIONAL SERIES TRUST DO WITH YOUR PERSONAL INFORMATION?</b>   |                                    |
|  |   |                                    |
| <b>Why?</b>  | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.  |                                    |
|  |   |                                    |
| <b>What?</b>   | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>▪ Social Security number</li> <li>▪ Assets</li> <li>▪ Retirement Assets</li> <li>▪ Transaction History</li> <li>▪ Checking Account Information</li> <li>▪ Purchase History</li> <li>▪ Account Balances</li> <li>▪ Account Transactions</li> <li>▪ Wire Transfer Instructions</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |                                    |
|  |   |                                    |
| <b>How?</b>  | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons chosen to share; and whether you can limit this sharing.   |                                    |
|  |   |                                    |
|  | <b>Does<br/>Commonwealth<br/>International<br/>Series Trust<br/>share?</b>  | <b>Can you limit this sharing?</b> |
| <b>Reasons we can share your personal information</b>  |   |                                    |
| <b>For our everyday business purposes –</b><br>such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes   | No                                 |
| <b>For our marketing purposes –</b><br>to offer our products and services to you   | No  | We don't share                     |
| <b>For joint marketing with other financial companies</b>  | No  | We don't share                     |
| <b>For our affiliates' everyday business purposes –</b><br>information about your transactions and experiences   | No  | We don't share                     |
| <b>For our affiliates' everyday business purposes –</b><br>information about your creditworthiness   | No  | We don't share                     |
| <b>For non-affiliates to market to you</b>   | No  | We don't share                     |
| <b>Questions?</b>  | Call 1-888-345-1898   |                                    |

|  |  |
|--|--|
|  |  |
| <b>Who we are</b>  |  |
| <b>Who is providing this notice?</b>   | <b>Commonwealth International Series Trust on behalf of the Funds</b>  |
| <b>What we do</b>  |  |
| <b>How does Commonwealth International Series Trust protect my personal information?</b> | <p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.</p>  |
| <b>How does Commonwealth International Series Trust collect my personal information?</b> | <p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ Open an account</li> <li>▪ Provide account information</li> <li>▪ Give us your contact information</li> <li>▪ Make deposits or withdrawals from your account</li> <li>▪ Make a wire transfer</li> <li>▪ Tell us where to send the money</li> <li>▪ Tells us who receives the money</li> <li>▪ Show your government-issued ID</li> <li>▪ Show your driver's license</li> </ul> <p>We also collect your personal information from other companies.</p> |
| <b>Why can't I limit all sharing?</b>  | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness</li> <li>▪ Affiliates from using your information to market to you</li> <li>▪ Sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>  |
| <b>Definitions</b>   |  |
| <b>Affiliates</b>  | <p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>Commonwealth International Series Trust does not share with its affiliates.</i></li> </ul>  |
| <b>Non-affiliates</b>  | <p>Companies not related by common ownership or control. They can be financial and nonfinancial companies</p> <ul style="list-style-type: none"> <li>▪ <i>Commonwealth International Series Trust does not share with non-affiliates so they can market to you.</i></li> </ul>   |
| <b>Joint marketing</b>   | <p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ <i>Commonwealth International Series Trust does not jointly market.</i></li> </ul>  |